

17364

U.S. PTO

PTO/SB/05 (01-04)

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	02578.0008.NPUS01
First Inventor	Scott A. Chalmers
Title	WHOLE-SUBSTRATE SPECTRAL
Express Mail Label No.	EL 560495421US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 48]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 17]
5. Oath or Declaration [Total Sheets]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: Transmittal Letter.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 27240 OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

(949) 759-5269

Fax

(650) 463-8400

Name (Print/Type)

Sean D. Burdick

Registration No. (Attorney/Agent)

51,513

Signature

Sean D. Burdick

Date

April 1, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

17302 U.S. PTO
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HOWREY SIMON ARNOLD & WHITE, LLP

301 Ravenswood Avenue, Box 34

Menlo Park, CA 94025

Facsimile (650) 463-8400

Telephone (949) 759-5269



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Sir:

Transmitted herewith for filing is the patent application of SCOTT A. CHALMERS, RANDALL S. GEELS, and THOMAS F.A. BIBBY, titled **"WHOLE-SUBSTRATE SPECTRAL IMAGING SYSTEM FOR CMP"**.

The following documents are enclosed for appropriate action:

1. Utility Patent Application Transmittal
2. Patent Application – 48 pages
3. Drawings – 17 pages (Figs. 1-16); and
4. Return Postcard

(Col. 1)		(Col. 2)	SMALL ENTITY		OTHER THAN A SMALL ENTITY		
FOR	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$385.00	OR		\$ 770.00
TOTAL CLAIMS	-20=		x 9 =	0.00	OR	x 18 =	0.00
INDEP. CLAIMS	— -3 =		x 43 =	0.00	OR	x 86=	0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ 140 =	0.00	OR	+ 280 =	0.00
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	\$0.00	OR	TOTAL	0.00

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service (USPS) on the date shown below using the "Express Mail Post Office to Addressee" service of the USPS (Express Mail No. EL560495421US in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 1, 2004
Date of Deposit

Robin L. Clow
Name of Person Mailing Paper

B. Clow
Signature of Person Mailing Paper

This application is being filed under 37 C.F.R. §1.53(b) without declaration and filing fee.

HOWREY SIMON ARNOLD & WHITE, LLP

Date: April 1, 2004



Sean D. Burdick, Reg. No. 51,513